

DoubleCheck Regulatory Compliance Automation

Efficiently Design and Execute Custom Audit Programs



Highlights

Design and execute custom, auto-populated audit templates
configurable audit questions

Manage audit engagement planning, prioritization, staff assignments and processes

Track and review the status of audit activity – audit execution, work papers and evidence, reviews, issues and management responses

Consolidate issues into findings; track management actions for finding/issue resolution

Keep all stakeholders informed with dashboards and reports, with time or event based notifications

Overview

DoubleCheck Regulatory Compliance Automation provides a secure, centralized environment for automating the creation and execution of policy audits. With DoubleCheck's flexible web-based solution you will be able to identify and auto-populate the applicable templates and audit questions based upon jurisdiction, product type and compliance requirements. Additionally, the audit templates and questions are configurable to meet your organizations compliance needs. You will be able to schedule audits and manage the process from engagement planning through testing, review, management response and final report generation. You will have a complete archive of work papers and evidence, and a database of results that can be used to inform your compliance activities (as well as subsequent audit planning). The system will track findings and remediation plans and actions, assuring that findings are responded to and resolved. Results can be reported through real time dashboards, business intelligence reports and email-based notifications.

Key Benefits

- User Interfaces configured to the roles and responsibilities of the individual. Users will see the information they need and be guided through their responsibilities, maximizing user satisfactions and minimizing costs of training.
- Ability to assign a policy audit to appropriate individuals or organizations based on the audit type.
- Ability to delegate some or all of the audit review to appropriate reviewers.
- Automated workflow management, assuring assignments, due dates and reviews are managed throughout their lifecycle.
- Demonstrable, auditable program with evidence to link regulatory requirements with specific compliance actions.
- A rich set of underlying technical capabilities that enable growth as your audit program evolves, including:
 - Audit template management
 - Document management
 - Issue creation and resolution management
 - Associated data management
 - Audit testing module
 - Multiple assessment options, including survey style and spread sheet style
 - User configurable reports
 - Data import and export tools
 - Notifications of tasks to perform



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DoubleCheck™ LLC is a leading enterprise-level governance, risk management, compliance and audit solutions software company. The DoubleCheck GRC and audit platform can automate and unify on a single platform any or all of any organization's governance, risk management, compliance and testing (GRC and audit) activities. This includes key requirements such as Sarbanes-Oxley compliance, corporate governance, risk and audit management. The solution is highly configurable, offering adaptations to easily fit each client's needs.